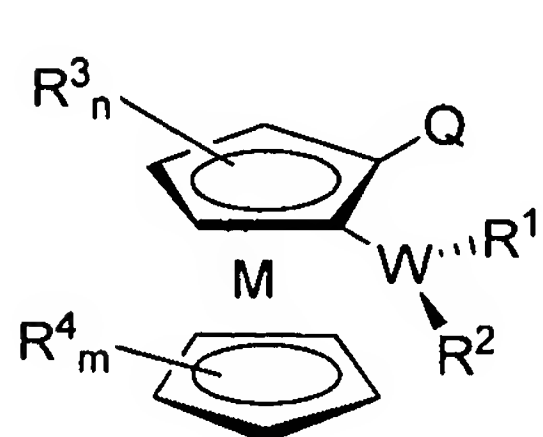


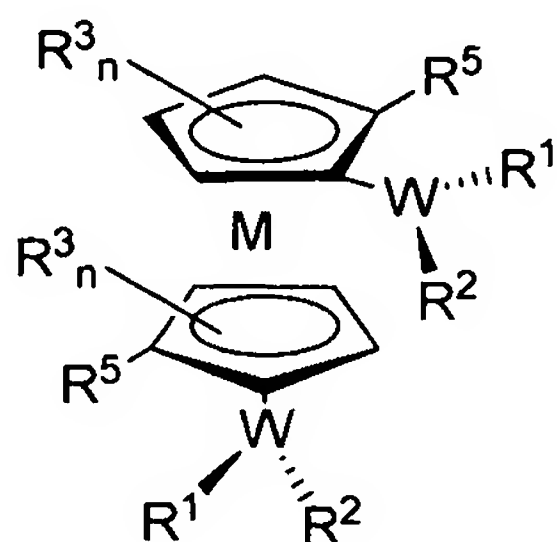
AMENDMENTS TO THE CLAIMS

1-22. (Canceled)

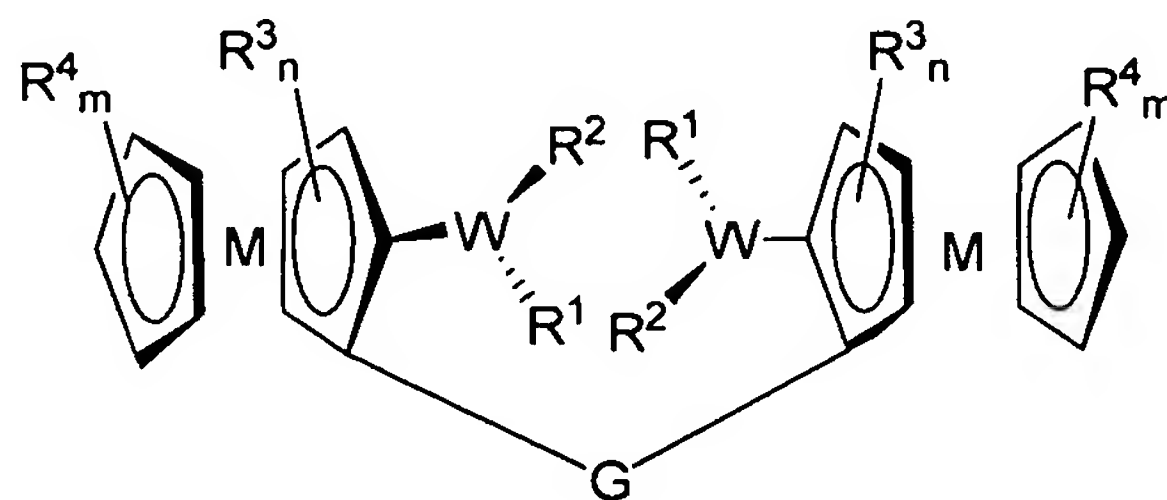
23. (New) A metallocene-based ligand having a formula selected from the group consisting of Formula (I), Formula (II), Formula (III), Formula (IV), Formula (V), Formula (VI), Formula (VII), Formula (VIII), and Formula (IX):



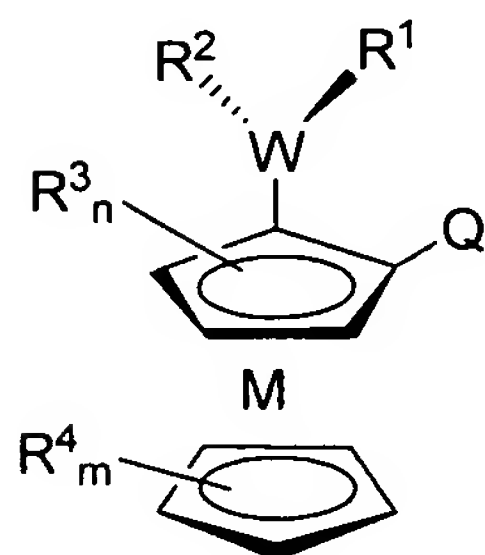
Formula (I)



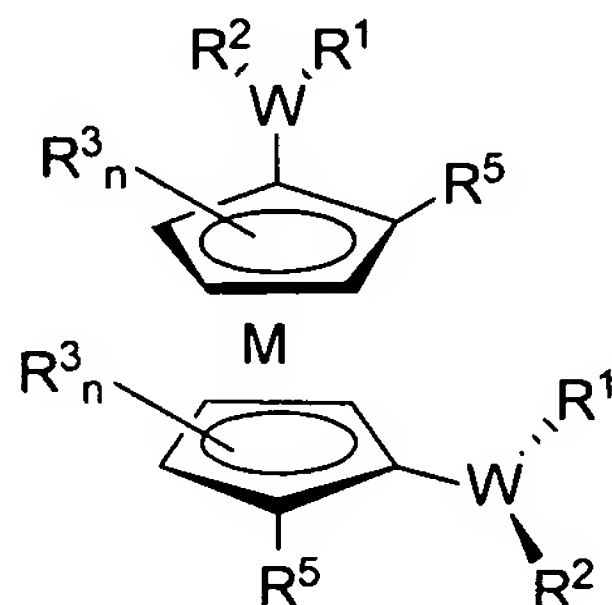
Formula (II)



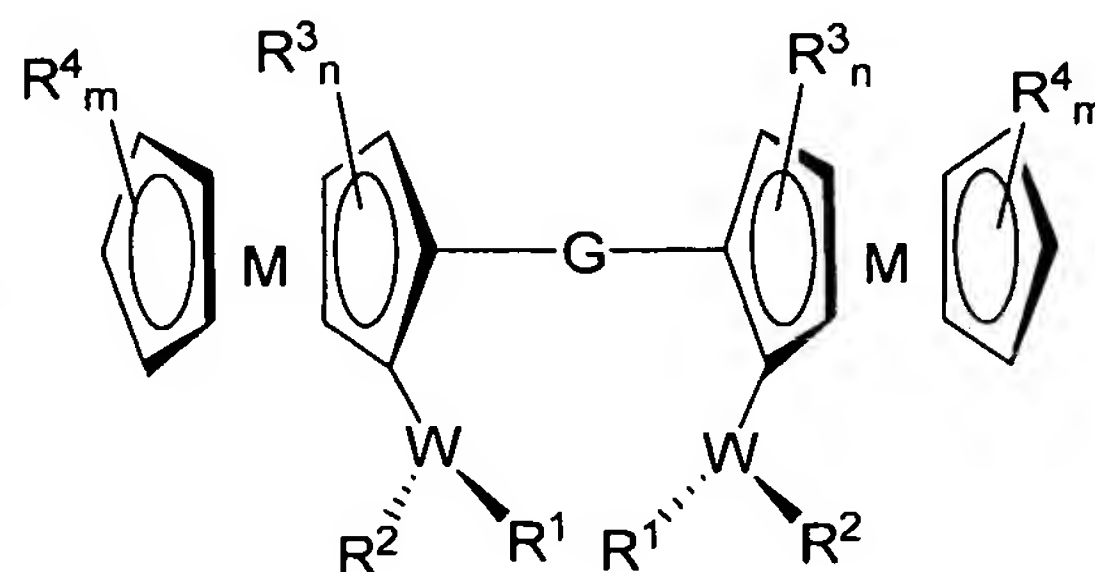
Formula (III)



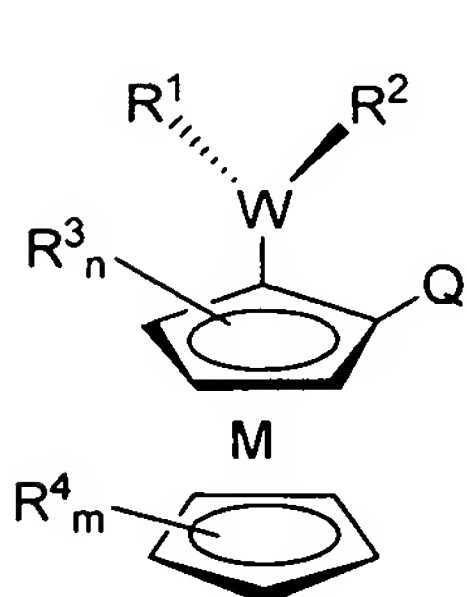
Formula (IV)



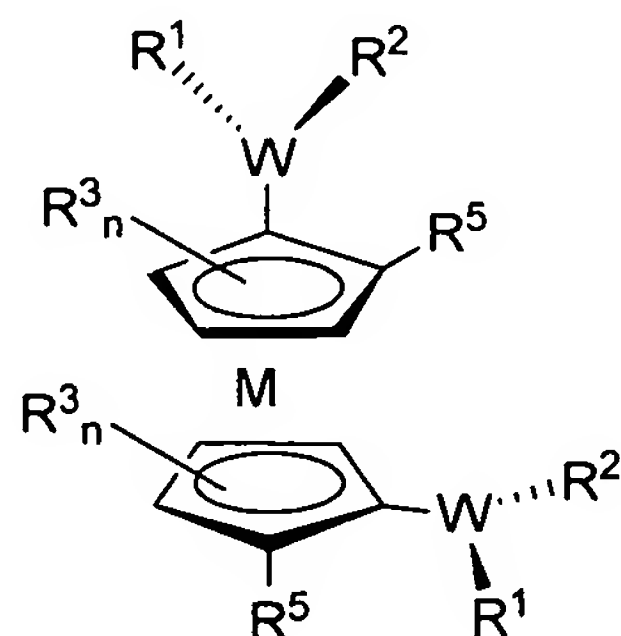
Formula (V)



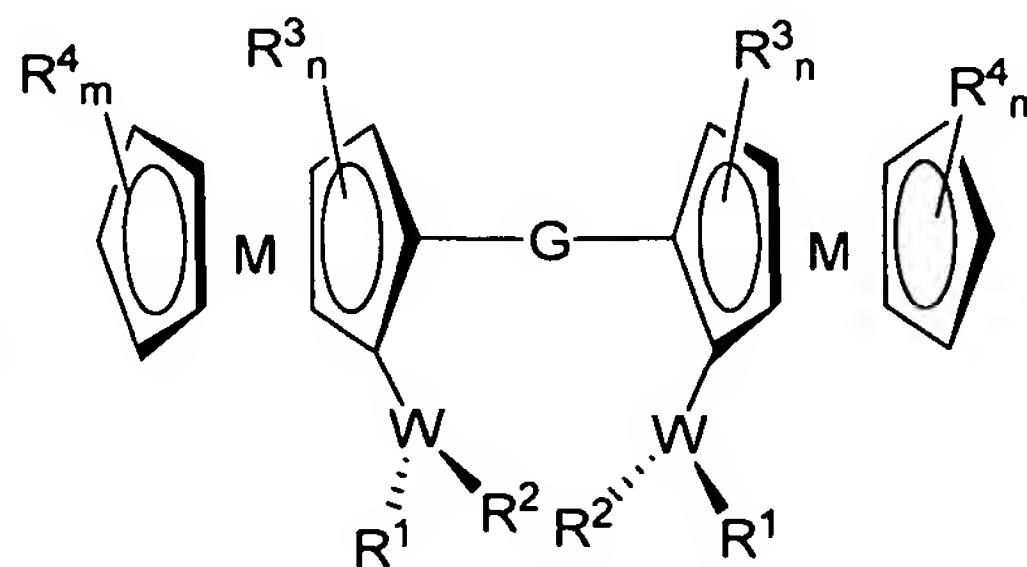
Formula (VI)



Formula (VII)



Formula (VIII)



Formula (IX)

wherein W is phosphorus or arsenic;

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M is a metal;

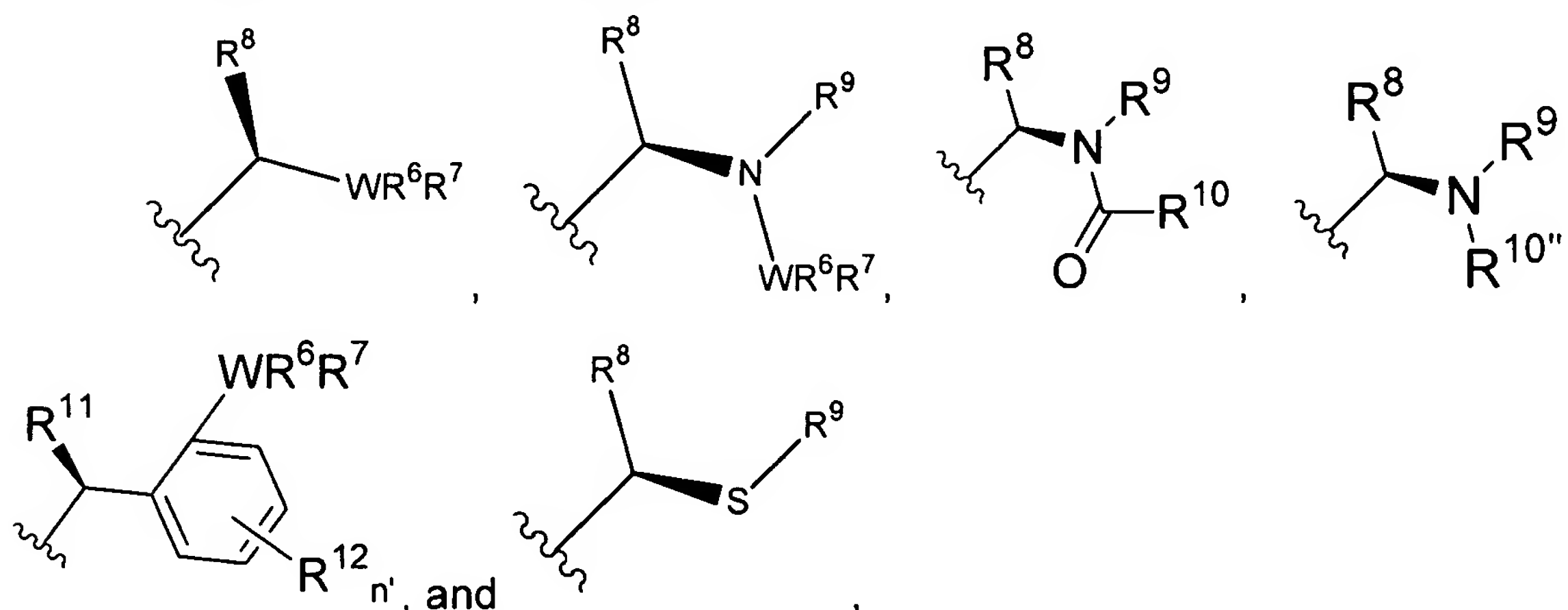
R^1 and R^2 are different from each other and are independently selected from the group consisting of unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted alkoxy, unsubstituted alkylamino, unsubstituted cycloalkyl, unsubstituted cycloalkoxy, unsubstituted cycloalkylamino, unsubstituted carbocyclic aryl, unsubstituted carbocyclic aryloxy, unsubstituted heteroaryl, unsubstituted heteroaryloxy, unsubstituted carbocyclic arylamino, unsubstituted heteroarylamino, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted alkoxy, unsubstituted alkylamino, unsubstituted cycloalkyl, unsubstituted cycloalkoxy, unsubstituted cycloalkylamino, unsubstituted carbocyclic aryl, unsubstituted carbocyclic aryloxy, unsubstituted heteroaryl, unsubstituted heteroaryloxy, unsubstituted carbocyclic arylamino, and unsubstituted heteroarylamino;

R^3 and R^4 are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted cycloalkyl, substituted carbocyclic aryl, substituted heteroaryl, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted cycloalkyl, unsubstituted carbocyclic aryl, and unsubstituted heteroaryl;

n is an integer of from 0 to 3;

m is an integer of from 0 to 5;

Q is selected from the group consisting of

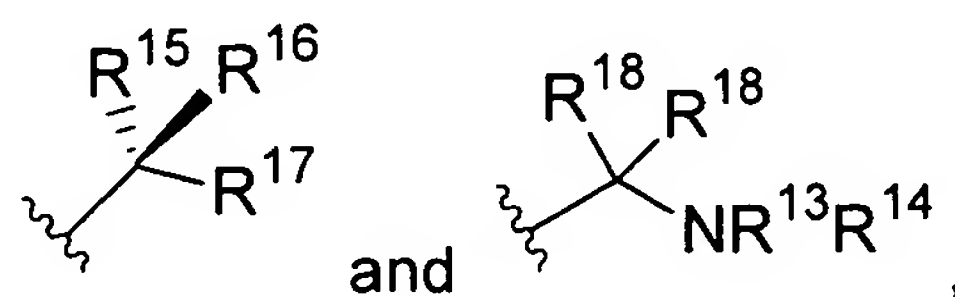


wherein R^6 and R^7 are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted

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alkoxy, substituted alkylamino, substituted cycloalkyl, substituted cycloalkoxy, substituted cycloalkylamino, substituted carbocyclic aryl, substituted carbocyclic aryloxy, substituted heteroaryl, substituted heteroaryloxy, substituted carbocyclic arylamino, substituted heteroarylamino, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted alkoxy, unsubstituted alkylamino, unsubstituted cycloalkyl, unsubstituted cycloalkoxy, unsubstituted cycloalkylamino, unsubstituted carbocyclic aryl, unsubstituted carbocyclic aryloxy, unsubstituted heteroaryl, unsubstituted heteroaryloxy, unsubstituted carbocyclic arylamino, and unsubstituted heteroarylamino; R^8 , R^9 , R^{10} , and $R^{10'}$ are independently selected from the group consisting of hydrogen, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; R^{11} is selected from the group consisting of OR^{13} , SR^{13} , NHR^{13} , and $NR^{13}R^{14}$, wherein R^{13} and R^{14} are independently selected from the group consisting of hydrogen, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; R^{12} is selected from the group consisting of hydrogen, halogen, OR^{13} , SR^{13} , $NR^{13}R^{14}$, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl, and n' is 0 to 4;

R^5 is selected from the group consisting of

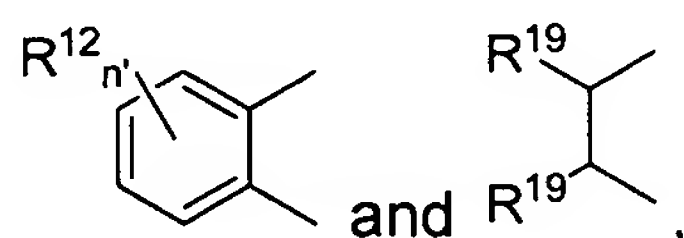


wherein R^{15} , R^{16} and R^{17} are independently selected from the group consisting of hydrogen, halogen, OR^{13} , SR^{13} , $NR^{13}R^{14}$, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; and wherein the two

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geminal substituents R^{18} together are a doubly bonded oxygen atom, or each geminal substituent R^{18} is individually hydrogen; and

G is selected from the group consisting of $-C(=O)NH-R^*-NHCO-$,
 $-C(=O)-OR^*O-C(=O)-$, $-C(=O)-R^*C(=O)-$, $-CH=N-R^*-N=CH-$,
 $-CH_2NH-R^*-NHCH_2-$, $-CH_2NHC(=O)-R^*-C(=O)NHCH_2-$,
 $-CH(R^8)NH-R^*-NH(CH(R^8)-$, $-CH(R^8)NHC(=O)-R^*-C(=O)NHCH(R^8)-$,
 $-C(=O)NH-R-NHC(=O)-$, $-C(=O)-ORO-C(=O)-$, $-C(=O)-RC(=O)-$,
 $-CH=N-R-N=CH-$, $-CH_2NH-R-NHCH_2-$, $-CH_2NHC(=O)-R-C(=O)NHCH_2-$,
 $-CH(R^8)NH-R-NH(CH(R^8)-$, $-CH(R^8)NHC(=O)-R-C(=O)NHCH(R^8)-$; wherein
 $-R^*-$ and $-R-$ are selected from the group consisting of:



wherein the two substituents R^{19} together are $-(CH_2)_{m'}-$ or each substituent R^{18} is independently selected from the group consisting of hydrogen, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; n' is an integer of from 0 to 4; and m' is an integer of from 1 to 8.

24. (New) The metallocene-based ligand of Claim 1, which is an enantiomer having Formula (IV), Formula (V), or Formula (VI).

25. (New) The metallocene-based ligand of Claim 1, which is a diastereomer having Formula (VII), Formula (VIII), or Formula (IX).

26. (New) The metallocene-based ligand of Claim 1, wherein the metallocene-based ligand is a phosphine or arsine having chirality at W, and wherein the metallocene-based ligand has at least one additional element of chirality selected from the group consisting of planar chirality, chirality at carbon, and axial chirality.

27. (New) The metallocene-based ligand of Claim 1, wherein the metallocene-based ligand is a diphosphine or diarsine having chirality at W, and wherein the metallocene-based ligand has two additional elements of chirality comprising planar chirality and chirality at carbon.

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28. (New) The metallocene-based ligand of Claim 1, wherein the metallocene-based ligand is a diphosphine or diarsine having chirality at W, and wherein the metallocene-based ligand has three additional elements of chirality comprising planar chirality, chirality at carbon, and axial chirality.

29. (New) The metallocene-based ligand of Claim 1, wherein the metallocene is ferrocene.

30. (New) The metallocene-based ligand of Claim 1, wherein W is phosphorus.

31. (New) A catalyst or catalyst precursor in an asymmetric transformation reaction to generate a high enantiomeric excess of a formed compound, the catalyst or catalyst precursor comprising the metallocene-based ligand of Claim 1.

32. (New) A transition metal complex containing a transition metal coordinated to the metallocene-based ligand of Claim 1.

33. (New) A transition metal complex according to Claim 32, wherein the transition metal is a Group VIb metal or a Group VIII metal.

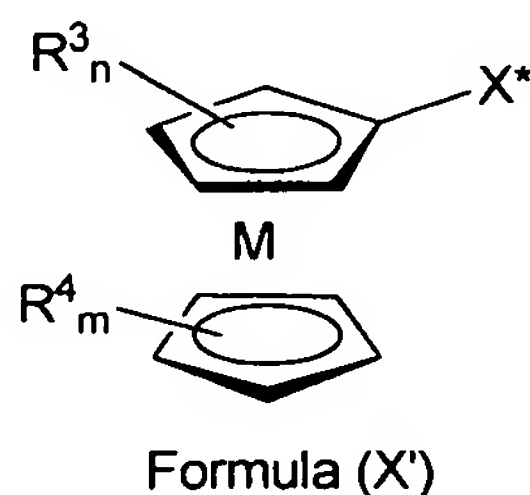
34. (New) A method for preparing the metallocene-based ligand, comprising:

providing a metallocene-based substrate having a chiral directing substituent on one or both rings;

ortho-lithiating the metallocene-based substrate; and

converting the ortho-lithiated metallocene-based substrate to obtain the metallocene-based ligand of Claim 1.

35. (New) The method according to Claim 34 wherein the metallocene-based ligand has Formula (I) or Formula (III), wherein the metallocene-based substrate having Formula (X'):

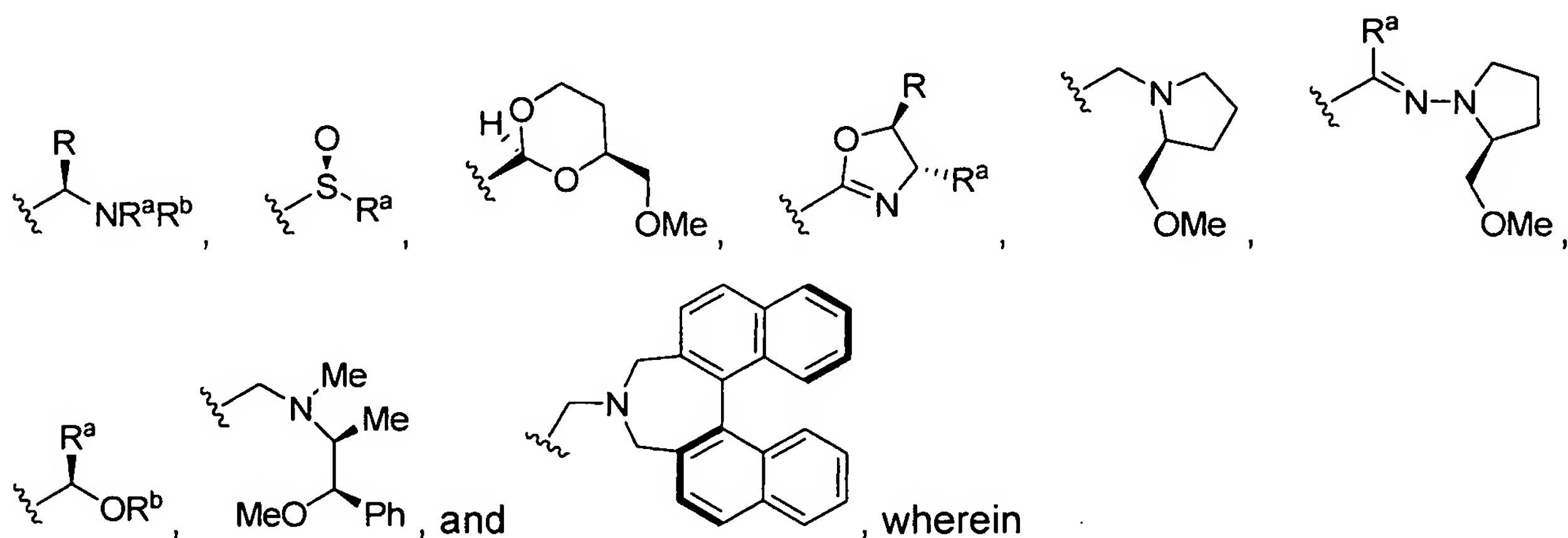


wherein R^3 , R^4 , and n are as defined in Claim 1, and wherein X^* is a chiral directing group, wherein the step of converting the ortho-lithiated metallocene-based substrate

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comprises reacting the ortho-lithiated metallocene-based substrate with an R^1 substituted phosphine or an R^1 substituted arsine, and with an R^2 -bearing Grignard reagent or an R^2 -bearing organolithium compound, then converting X^* to Q or G.

36. (New) The method according to Claim 35, wherein X^* is selected from the group consisting of:

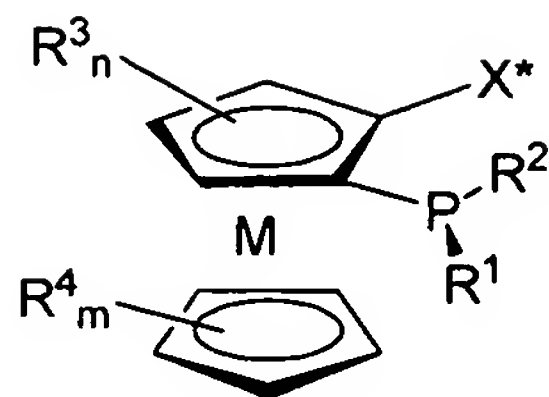


R^a and R^b are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted cycloalkyl, substituted carbocyclic aryl, substituted heteroaryl, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted cycloalkyl, unsubstituted carbocyclic aryl, and unsubstituted heteroaryl.

37. (New) The method according to Claim 35, wherein the ortho-lithiating step is conducted using at least one lithiating agent selected from the group consisting of n-butyllithium, sec-butyllithium, and tert-butyllithium.

38. (New) The method according to Claim 37, wherein the step of converting the ortho-lithiated metallocene-based substrate comprises reacting the ortho-lithiated metallocene-based substrate *in situ* with a dichlorophosphine of the formula R^1PCl_2 wherein R^1 is as defined in Claim 1, to yield an intermediate product, wherein the intermediate product is converted to obtain the metallocene-based ligand of Claim 1.

39. (New) The method according to Claim 38, further comprising reacting the intermediate product with an organometallic reagent of formula R^2Z , wherein R^2 is as defined in Claim 1, wherein Z is Li or MgY , and wherein Y is a halide, to obtain a phosphorus chiral compound having Formula (XI'):



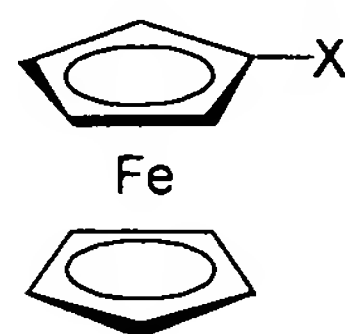
Formula (XI')

wherein the phosphorus chiral compound is converted to obtain the metallocene-based ligand of Claim 1.

40. (New) The method of Claim 39, wherein the metallocene-based ligand has Formula (I) or Formula (III).

41. (New) A method for preparing a metallocene-based ligand of Claim 1, comprising:

providing a compound of Formula (XXXVII):

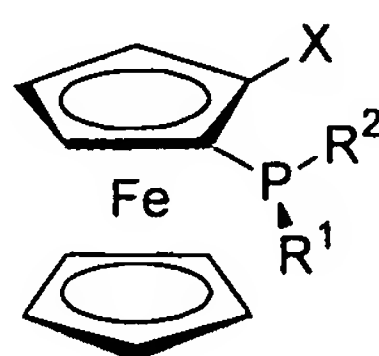


(XXXVII)

wherein X is an achiral directing group;

subjecting the compound of Formula (XXXVII) to enantioselective mono-ortho-lithiation using at least one lithiating agent selected from the group consisting of n-butyllithium, sec-butyllithium, and tert-butyllithium, wherein the mono-ortho-lithiation is conducted in the presence of a homochiral tertiary amine, whereby a chiral monolithium compound is obtained;

reacting the chiral monolithium compound *in situ* with a dichlorophosphine of the formula R^1PCl_2 followed by reacting with an organometallic reagent of the formula R^2Z , wherein R^1 and R^2 are as defined in Claim 1, wherein Z is Li or MgY , and wherein Y is a halide, to obtain a phosphorus chiral compound having Formula (XXXVIII):

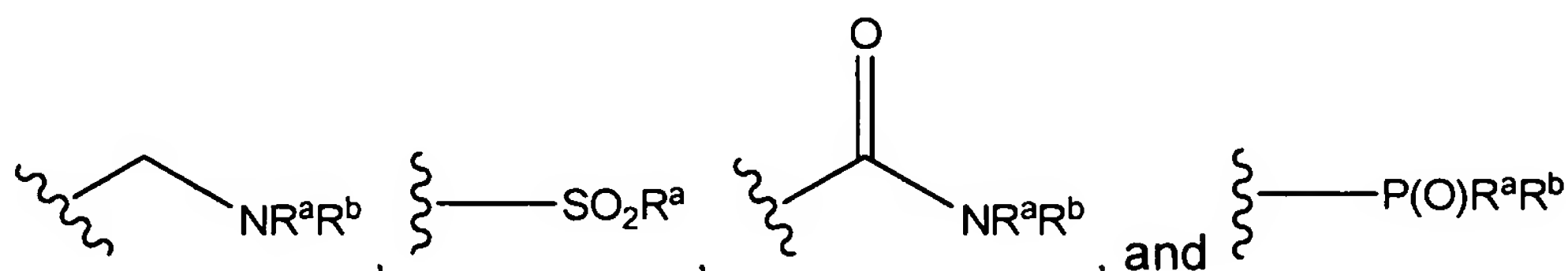


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and converting the phosphorus chiral compound having Formula (XXXVIII) to the metallocene-based ligand of Claim 1, wherein the metallocene-based ligand has Formula (I) or Formula (III).

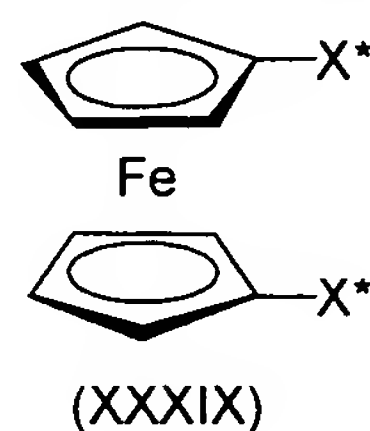
42. (New) The method according to Claim 41 wherein X is selected from the group consisting of:



wherein R^a and R^b are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted cycloalkyl, substituted carbocyclic aryl, substituted heteroaryl, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted cycloalkyl, unsubstituted carbocyclic aryl, and unsubstituted heteroaryl.

43. (New) A method for preparing a metallocene-based ligand of Claim 1, comprising:

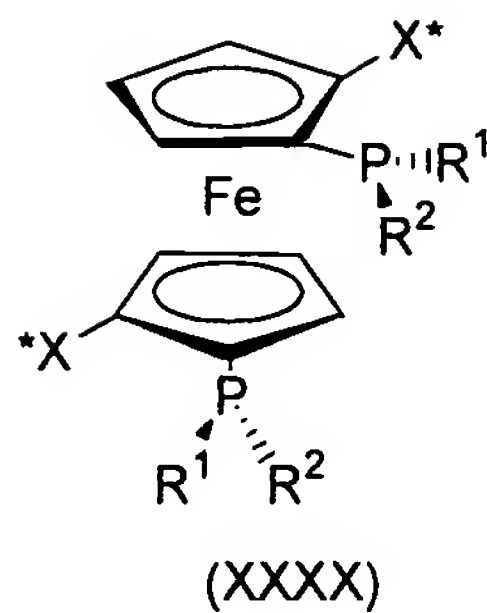
providing a compound of Formula (XXXIX):



wherein X^* is a chiral directing group;

subjecting the compound of Formula (XXXIX) to bis-ortho-lithiation using at least one lithiating agent selected from the group consisting of n-butyllithium, sec-butyllithium, and tert-butyllithium, whereby a bislithium compound is obtained; reacting the resulting bislithium compound *in situ* with a dichlorophosphine of the formula R^1PCl_2 followed by reacting with an organometallic reagent of the formula R^2Z wherein R^1 and R^2 are as defined in Claim 1w wherein Z is Li or MgY , and wherein Y is a halide, to obtain a phosphorus chiral compound having Formula (XXXX):

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and converting the phosphorus chiral compound having Formula (XXXX) to the metallocene-based ligand of Claim 1, wherein the metallocene-based ligand has Formula (II).